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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/875,753	06/06/2001	Olaf Vancura	1498/198(b)	8046
29159 7590 01/15/2008 BELL, BOYD & LLOYD LLP P.O. Box 1135 CHICAGO, IL 60690			EXAMINER PIERCE, WILLIAM M	
			ART UNIT 3711	PAPER NUMBER
			NOTIFICATION DATE 01/15/2008	DELIVERY MODE ELECTRONIC

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

PATENTS@BELLBOYD.COM

**Office Action Summary**

Application No.

09/875,753

Applicant(s)

VANCURA, OLAF

Examiner

William M. Pierce

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 19 October 2007.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1,3,8-10,18,19,24,25,30 and 88-122 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1,3,8-10,18,19,24,25,30 and 88-122 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

WILLIAM M. PIERCE  
PRIMARY

**Attachment(s)**

- 1) ☐ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO/SB/08)  
Paper No(s)/Mail Date \_\_\_\_\_.
- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date \_\_\_\_\_.
- 5) ☐ Notice of Informal Patent Application
- 6) ☐ Other: \_\_\_\_\_.

**DETAILED ACTION**

***Claim Rejections - 35 USC § 112***

Claims 1, 3, 8-10, 18, 19, 24, 25, 30 and 88-122 are rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the written description requirement. The claim(s) contains subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention.

In the independent claims, “a first outcome displayed to the player” and “second outcome displayed to the player”, in claim 88 “a plurality of first outcomes” and specifically to claims 8 and 10 “wherein at least one of said minimum value and said maximum value are configured to change for different plays of the knowledge-based bonus game”.

Values “configured to change for different plays of the knowledge based bonus games” as called for in claims 117, 120 and 121 is not originally disclosed.

As to claims 118, 119 and 122, the original specification does

***Claim Rejections - 35 USC § 103***

Claims 1, 3, 8-10, 18, 19, 24, 25, 30 and 88-122 are rejected under 35 U.S.C. 103 as being unpatentable over Walker in view of the teachings of Vancura, Martinez, or Kilby as set forth in the previous office action;

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"Claims 1, 3 and 9 are rejected for the reasons set forth in the grounds for rejection advance by the Board on 8/17/05. Applicant has added the limitation that the "house advantage is dependent upon the knowledge of a particular player". However, a "players knowledge" is recognized as being synonymous to his "skill. On pg. 218, ln. 16 of Casino Operations Management we know that "player skill level" is "probably the most significant determinant" of hold (or how much money the casino makes). Another illustration of the considerations of player skill tht is fairly taught by the prior art is most notable in the game of blackjack as discussed by Martinez (pg. 41) where the house percentage "fluctuates...depending upon the skill of the player. As such it follows that making the house percentage or amount of money made by the house dependent upon the knowledge, i.e. skill, of a player would have been obvious in order to assure that the casino does not lose money (Vancura pg. 23, ln. 4). Claims 8 and 19 calls for "playing the knowledge-based game occurs when play of the underlying game of chance stops". In Walker, symbols generated by the reels determine a player outcome and the reels are spun again. Walker discloses that the spinning of the reels is wasted time when the game is not being played. As such it is reasonable to determine that the "underlying game of chance stops" at this point when the knowledge-based claim occurs as is set forth by the claim. Claims 10, 25 and 30 calls for setting the house advantage based upon all queries guessed at. What the board calls "basic operational concepts" of casino games is that house percentage and the considerations that are taken into account when they are set. One such consideration is the player skill as discussed above, not only to make sure that the house does not loose money, but also to make sure that they do "not pummel the clientele, and they will not return nor will anyone else visit after the word gets out" (Martinez, pg. 42, cl. 2, ln. 30). As such, to take into consideration the player who knows nothing when setting the range for the house percentage would have been obvious in order to not discourage those players from playing by loosing too much money. As to claims 18 and 24, a player in Walker is paid more money when correctly answers than when incorrectly answers meeting the limitation of the claim. As to claim 88, Walker delivers payoffs in the game of chance prior to playing the knowledge-based game while the reels are spinning."

"As to claim 1, Walker shows receiving a wager bet and playing a game of chance that results in a first outcome. Col. 3, ln. 26 explicitly states that "the outcome of the slot machine play and corresponding reel combination are determined by accessing the appropriate probability table." This outcome is provided to the player and stored in the payout database 900. The first outcome is dependent upon a random determination of the reel combinations. The game of chance has a first house advantage shown in the "appropriate payable" (col. 3, ln. 28). Vancura, Martinez and Kilby teach the consideration for paytables that are designed with a house advantage in mind to ensure a profit from the play of the game.

Walker plays a knowledge-based game using he answers in combination with the underlying game of chance since "a player can use successful trivia game results to access higher reward levels (col. 2, ln. 58). These "higher reward levels" are considered a "second outcome" provided to the player as recited in the claim.

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With respect to the limitations in the last paragraph, it is important to note that the art we are dealing with is one of casino type games for players to place wagers on games with set odds. These games are designed with "profit" in mind. As set forth in the previous office action, the applied teaching references clearly consider the skill of the player when designing the odds or house advantage. Even the Board Decision of 8/17/05 recognized on pg. 22 "that it would have been obvious to one of ordinary skill in the art at the time of the invention that skilled artisans would have made it one of the major design criteria to assure that there is worst case house advantage that is profitable. And that this value would necessarily be within a predetermined range or a set value.

With respect to claim 3, the play of the trivia game of Walker occurs when the game of chance stops since a player stops looking at the reels to play the trivia game until the symbols generate indicia. Since at what point a game is considered to be stopped is considered overly broad, a player not actively doing anything meets the limitations of this claim. In Walker the "dead time" (col. 3,ln. 47) in which a player is not playing the slot machine is considered to be a point at which the game of chance "stops".

As to applicant's remarks with respect to Walker, pg. 12 merely summarizes applicant's interpretation of the reference with no argument being made. Applicant's first issue states that the combination of references fails to teach "playing an underlying game of chance which results in a first outcome..." and playing a knowledge-based bonus game resulting in a second outcome. However, these limitations are clearly met as set forth in the rejection above. Walker teaches the "outcome of the slot machine" (col. 3,ln. 26) to be a first outcome in a "basic reward level (col. 2,ln. 61) and a second outcome resulting from the play of the knowledge based game in a second outcome or "higher reward levels" (col. 2, ln. 59). These basic rewards are "provided to the player" in a "first payout database" (col. 3,ln. 11) and the higher rewards are provided to the player in the "enhanced payout database" (ln.19).

With respect to configuring the game to be within a predetermined range. Such design considerations in a casino game are considered fairly taught as set forth in the previous office action and in the paragraphs above.

With respect to new claims 89-101, Walker shows storing questions locally at 600 and a player winning nothing or having a first outcome of zero is known in slot machines."

The claims have now been amended to include the limitations that of a first and second "outcome capable of resulting in a first award payable to the player". Walker is capable of a first reward shown at element 950 and a second reward at 960 in his fig. 9. While applicant points to comments made by the Board as Walker not teaching two

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payoff, such is considered unpersuasive since his claims do not explicitly recite two payoffs. Moreover, Walker is considered to show the capability of two payoff at 950 and 960 as set forth above.

Claims 1, 3, 8-10, 18, 19, 24, 25, 30 and 88-122 are rejected under 35 U.S.C. 103 as being unpatentable over Claypole 2,262,642 in view of the teachings of Vancura, Martinez, or Kilby as set forth in the previous office action;

"As to claims 1, 3 and 9, Claypole shows a game of chance played at 4 and a knowledge-based game played at 15 (see pg. 3, ln. 25 and pg. 13, ln. 2). The limitation of the "house advantage is dependent upon the knowledge of a particular player" is fairly taught by Vancura, Martinez or Kilby for the reasons advanced in the grounds for rejection above. As to claims 8 and 19, in an alternative view to the rejection set forth above, the game stops when the system at 21 reaches a predetermined outcome. This is considered a "randomly chosen given frequency" meeting the limitations of the claims. Claims 10, 25 and 30 are fairly taught for the reasons advanced in the above grounds for rejection. As to claims 18 and 24, a player in Claypole (pg. 12, ln. 15) allows a player an increased reward when he correctly answers meeting the limitation of the claim. As to claim 88, (pg. 12, ln. 15) a player is given payoff in the game of chance which may be wagered in the game of knowledge meeting the limitations of this claim."

"With respect to Claypole, applicant advances similar arguments were used on behalf of Walker that neither a "first outcome" or a "second outcome" are provided to the player. Claypole shows a first outcome is in "an award that may or may not be won" (pg. 11, ln. 20). A player may receive this award at any point (pg. 12, lns. 5-12). He shows a "feature game" (pg. 3, ln. 14) of skill such as a quiz game (ln. 25) which provides a second outcome with an accredited award "for a correct guess". (pg. 13, ln. 5). As set forth above, the design of a casino game with profit in mind is recognized by the Board. Vancura, Martinez and Kilby teach that one would consider a range of variables such as the skill of the player in setting the house percentage. Setting a range with consideration of known extremes, in this case a player that knows nothing and a player that knows everything" would have been obvious in order to design a game that is interesting to players and maintains a profit as taught.

With respect to new claims 89-101, Claypole shows storing questions locally on the game machine of fig. 1 and a player winning nothing or having a first outcome of zero is known in slot machines."

Claypole shows a first outcome capable of resulting in an award where he may gamble "the basic pay-out" (pg. 2, ln. 6) and second outcome capable of resulting in an award payable to the player in the form of a prize or jackpot (ln. 16).

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Claims 1, 3, 8-10, 18, 19, 24, 25, 30 and 88-122 are rejected under 35 U.S.C.

103 as being unpatentable over Adams 5,848,932 in view of Walker and further in view of the teachings of Vancura, Martinez, or Kilby as set forth in the previous office action;

"Adams shows a game style popular in the art having a primary game and a secondary game. He shows that the secondary game may be a game of skill (col. 7, ln. 38). In these types of games, the secondary game is triggered by a selected event in the primary game, randomly or periodically. He does not specifically mention a trivia game. Walker teaches that trivia games are known games of skill that are combinable with wagering games. To have replaced the multiplier of Adams with that of a trivia game would have been obvious in order to provide a wagering game attractive to players that like trivia games. Vancura, Martinez and Kilby teach the predetermined range as set forth in the grounds for rejection above."

"With respect to Adams, applicant advances similar arguments are were used on behalf of Walker that neither a "first outcome" or a "second outcome" are provided to the player. However, Adams clearly shows a first outcome in a typical "winning payout" (col 3, ln. 48) and a secondary payout in the "secondary payout" (ln. 55). As set forth above, the design of the house advantage in the game of Adams surely would have considered the skill of the player in the desired level profitability.

With respect to new claims 89-101, Adams shows storing questions locally on the game machine of fig. 2 and a player winning nothing or having a first outcome of zero is known in slot machines.

Applicant questions how the proposed modification of Adams with a trivia game would function. Adams is clear that the "secondary payout indicator" may be a game of skill and the players efforts affects the value of a multiplier payout (col. 7, ln. 43). The prior art only need to fairly suggest the claimed combination of a trivia game. It is considered done as trivia game are considered known games of skill. Changing the secondary game of Adams does not unexpectedly change the function of his game. It merely brings to the game of Adams the steps of the chosen secondary game. One skilled in the art is aware of how variations of trivia games are conducted and a player is awarded for prevailing in the game."

### **Conclusion**

Applicant's arguments filed 10/19/07 have been fully considered but they are not persuasive.

#### **1. Rejection over Walker**

Pgs. 16-18 of appellant's remarks are considered a fair assesment of the issues at hand and are noted. In the middle of pg. 18 he specifically remarks that the prior art

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does not show the play of a game that "results in a first outcome displayed to a player".

The examiner does not agree since reels 332, 334 and 336 as shown in fig. 3B are considered to be (form claim 1) "displaying a play of an underlying game of chance which results in a first outcome displayed to the player" on the reels. These reels are a first outcome that is dependent upon the random determination of the spinning reels that is capable of resulting in a first payout to the player. The underlying game of chance has a first house advantage. The play of the knowledge-gated bonus game is combined with the underlying game of chance as shown in fig. 3B which results in a second outcome dependent upon the knowledge of the player as shown in the tables of figs. 8-10 which is capable of resulting in a second payout to the player. While appellant disagrees with the examiner's interpretation, it is not a question of whose interpretation of the claim language in view of the prior art is more correct. The question is whether or not the limitations in the claim fairly distinguishes over the art. Since, as set forth by the example of the examiner's interpretation, this is not the case, the claims stand rejected.

Where appellant cites the Board Decision stating the "Walker teaches a single payoff", he does not state in the context in which the Board made this statement. Taken at face value, this determination is most broadly incorrect. Walker clearly shows a first payoff 950 and second payoff 960.

Additionally, the other elements of claim 1 to configure the game to maintain the house advantage within a predetermined range is fairly taught by the art and is within

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the basic understandings of one of ordinary skill in the art. The examiner's position in this case is fully supported by the recent *KSR* decision.

Remarks with respect to claims 8 and 10 repeat those made with respect to claim

1. The examiner's position and response is set forth above.

Appellant's remarks with respect to claims 18, 19, 25 merely restate the claims and assert patentability without specifically pointing out how the language of the claims patentably distinguishes them from the references. As such no further comment is deemed necessary since the examiner has shown that the language of the claims through one interpretation fails to clearly distinguish over the applied art.

## **2. Rejections over Claypole**

Appellant states that "Claype does not disclose a first outcome capable of resulting in a first payout" and quotes the Parent Board Decision. This argument is incorrect. On pg. 11, ln. 20, Claypole states that "according to the resulting arrangement of symbols along the win line, an award may or may not be won". This is considered a clear showing of a "first outcome" capable of resulting in a first payout as called for by the claims.

Appellants' subsequent remarks with respect to claims 1, 8, 10, 18, 19, 25 and 88 restate the claims and merely assert patentability without specifically pointing out how the language of the claims distinguishes over the art.

## **3. Rejections over Adams**

Appellant's remarks offer a summary of the Adams disclosure, restates his claims and merely asserts patentability. As such no further comment is deemed


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necessary since the examiner has shown that the language of the claims fails to clearly distinguish over the applied art.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to William Pierce whose telephone number is 571-272-4414 and E-mail address is bill.pierce@USPTO.gov. The examiner can normally be reached on **Monday and Friday 9:00 to 7:00.**

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Gene Kim can be reached on 571-272-4463. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).



**WILLIAM M. PIERCE  
PRIMARY EXAMINER**